

## List of Matter Types

Matter Type	Description/Examples
Fund Formation	Fundraising, fund formation, managed account formations, LP agreements, hedge fund seeding, Public Funds, Manager/Sub Advisor Negotiations, Due Diligence
Ongoing Fund/Client Advice	Maintenance, amendments, LP issues and LPA/indenture/IMA Compliance, negotiation of leverage/subscription facilities, Public Funds, Manager/ Sub Advisor Negotiations, Due Diligence
Successful Transaction/Investment	Successful transactions (e.g. M&A, IPO, Debt Offering, Co-Investments, Restructuring, Acquisition, Disposition)
Broken Deal	Aborted transactions
Property Management & Leasing	
Non-Disclosure Agreements (NDA)	
Regulatory Reporting	Includes '33, '34, filings/reporting, '40 Act work; Advisers Act work; SEC and CFTC reporting; foreign reporting etc.
Public Company & Corporate Governance	Public Company advice including house periodic filings (10-Q, 10-K, 8-K, Section 13, Section 16; Aviation
Other Regulatory & Compliance	Includes advice relating to the activities of Broker-Dealer (BAP) and its registered persons; FINRA/SEC/NFA registration fees, state/country licensing fees, filing fees, continuing education fees, fingerprint fees, termination and branch office fees; regulatory marketing materials filing fees; lobby sit, political contribution and other political law matters; Anti-Money Laundering; FCPA/Anti-Corruption/OFAC; AIFMD; World Sky, etc.
Litigation	Includes civil/criminal litigation, regulatory proceedings, third-party subpoenas
Financings (non-transactional)	Non-Transactional house financing, bond offering & fund financing
Gov't & Internal Investigations	Excludes HR investigations and civil litigation
Information Management, Privacy & Security Advice	Includes data privacy and records retention matters
Insurance Matters	Includes advice relating to GPL and FI bond insurance policies and claims
Internal Organization Matters	Corporate housekeeping & entity management
Labor, Employment & Other Personnel Matters	Employment law related matters including HR-related investigations, inquiries, ERISA (non-deal related)
Vendor Contracts	
Other	