<table>
<thead>
<tr>
<th>Matter Type</th>
<th>Description/Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fund Formation</td>
<td>Fundraising, fund formation, managed account formations, LP agreements, hedge fund seeding, Public Funds, Manager/Sub Advisor Negotiations, Due Diligence</td>
</tr>
<tr>
<td>Ongoing Fund/Client Advice</td>
<td>Maintenance, amendments, LP issues and LPA/indenture/IMA Compliance, negotiation of leverage/subscription facilities, Public Funds, Manager/ Sub Advisor Negotiations, Due Diligence</td>
</tr>
<tr>
<td>Successful Transaction/Investment</td>
<td>Successful transactions (e.g. M&amp;A, IPO, Debt Offering, Co-Investments, Restructuring, Acquisition, Disposition</td>
</tr>
<tr>
<td>Broken Deal</td>
<td>Aborted transactions</td>
</tr>
<tr>
<td>Property Management &amp; Leasing</td>
<td></td>
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<tr>
<td>Non-Disclosure Agreements (NDA)</td>
<td></td>
</tr>
<tr>
<td>Regulatory Reporting</td>
<td>Includes '33, '34, filings/reporting, '40 Act work; Advisers Act work; SEC and CFTC reporting; foreign reporting etc.</td>
</tr>
<tr>
<td>Public Company &amp; Corporate Governance</td>
<td>Public Company advice including house periodic filings (10-Q, 10-K, 8-K, Section 13, Section 16; Aviation</td>
</tr>
<tr>
<td>Other Regulatory &amp; Compliance</td>
<td>Includes advice relating to the activities of Broker-Dealer (BAP) and its registered persons; FINRA/SEC/NFA registration fees, state/country licensing fees, filing fees, continuing education fees, fingerprint fees, termination and branch office fees; regulatory marketing materials filing fees; lobbyist, political contribution and other political law matters; Anti-Money Laundering; FCPA/Anti-Corruption/OFAC; AIFMD; World Sky, etc.</td>
</tr>
<tr>
<td>Litigation</td>
<td>Includes civil/criminal litigation, regulatory proceedings, third-party subpoenas</td>
</tr>
<tr>
<td>Financings (non-transactional)</td>
<td>Non-Transaction house financing, bond offering &amp; fund financing</td>
</tr>
<tr>
<td>Gov't &amp; Internal Investigations</td>
<td>Excludes HR investigations and civil litigation</td>
</tr>
<tr>
<td>Information Management, Privacy &amp; Security Advice</td>
<td>Includes data privacy and records retention matters</td>
</tr>
<tr>
<td>Insurance Matters</td>
<td>Includes advice relating to GPL and FL bond insurance policies and claims</td>
</tr>
<tr>
<td>Internal Organization Matters</td>
<td>Corporate housekeeping &amp; entity management</td>
</tr>
<tr>
<td>Labor, Employment &amp; Other Personnel Matters</td>
<td>Employment law related matters including HR-related investigations, inquiries, ERISA (non-deal related)</td>
</tr>
<tr>
<td>Vendor Contracts</td>
<td></td>
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<tr>
<td>Other</td>
<td></td>
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</tbody>
</table>